

- 1 SB181
- 2 W1WHFEE-2
- 3 By Senators Kelley, Kitchens, Smitherman, Stewart
- 4 RFD: Veterans, Military Affairs and Public Safety
- 5 First Read: 20-Feb-25



1 Enrolled, An Act,

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4 Relating to the practice of respiratory therapy; to 5 adopt the Respiratory Care Interstate Compact as Article 2 of Chapter 27B of Title 34, Code of Alabama 1975; to allow 6 7 licensed respiratory therapists to practice among compact states; to establish requirements and obligations for 8 9 participation in the compact; to provide for disciplinary actions and joint investigation procedures; to establish and 10 11 provide for the operation of the Respiratory Care Interstate Compact Commission; and to provide for the management, 12 13 implementation, and enforcement of the compact among member 14 states. 15 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA: Section 1. Sections 34-27B-1 through 34-27B-14, Code of 16 17 Alabama 1975, are designated as Article 1 of Chapter 27B of 18 Title 34, Code of Alabama 1975. 19 Section 2. Article 2, commencing with Section 20 34-27B-50, is added to Chapter 27B of Title 34, Code of 21 Alabama 1975, to read as follows: 22 Article 2. RESPIRATORY CARE INTERSTATE COMPACT 23 \$34-27B-50. Purpose. 24 (a) The purpose of this compact is to facilitate the 25 interstate practice of respiratory therapy with the goal of 26 improving public access to respiratory therapy services by 27 providing respiratory therapists licensed in a member state 28 the ability to practice in other member states. The compact



29 preserves the regulatory authority of states to protect public 30 health and safety through the current system of state 31 licensure.

32 (b) This compact is designed to achieve the following 33 objectives:

34 (1) Increase public access to respiratory therapy
35 services by creating a responsible, streamlined pathway for
36 licensees to practice in member states with the goal of
37 improving outcomes for patients.

38 (2) Enhance states' ability to protect the public's39 health and safety.

40 (3) Promote the cooperation of member states in
41 regulating the practice of respiratory therapy within those
42 member states.

43 (4) Ease administrative burdens on states by
44 encouraging the cooperation of member states in regulating
45 multi-state respiratory therapy practice.

46 (5) Support relocating active military members and 47 their spouses.

48 (6) Promote mobility and address workforce shortages.
49 \$34-27B-51. Definitions.

50 As used in this compact, the following terms have the 51 following meanings:

(1) ACTIVE MILITARY MEMBER. Any person with a full-time
duty status in the Armed Forces of the United States,
including members of the National Guard and Reserve.

55 (2) ADVERSE ACTION. Any administrative, civil,
56 equitable, or criminal action permitted by a state's laws



57 which is imposed by any state authority with regulatory 58 authority over respiratory therapists, such as license denial, 59 censure, revocation, suspension, probation, monitoring of the 60 licensee, or restriction on the licensee's practice, not 61 including participation in an alternative program.

(3) ALTERNATIVE PROGRAM. A nondisciplinary monitoring
or practice remediation process applicable to a respiratory
therapist approved by any state authority with regulatory
authority over respiratory therapists. This includes, but is
not limited to, programs to which licensees with substance
abuse or addiction issues are referred in lieu of adverse
action.

(4) CHARTER MEMBER STATES. Those member states who were
the first seven states to enact the compact into the laws of
their state.

72 (5) COMMISSION or RESPIRATORY CARE INTERSTATE COMPACT 73 COMMISSION. The government instrumentality and body politic 74 whose membership consists of all member states that have 75 enacted the compact.

(6) COMMISSIONER. The individual appointed by a member
state to serve as the member of the commission for that member
state.

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(7) COMPACT. The Respiratory Care Interstate Compact.

80 (8) COMPACT PRIVILEGE. The authorization granted by a 81 remote state to allow a licensee from another member state to 82 practice as a respiratory therapist in the remote state under 83 the remote state's laws and rules. The practice of respiratory 84 therapy occurs in the member state where the patient is



85 located at the time of the patient encounter.

86 (9) CRIMINAL BACKGROUND CHECK. The submission by the 87 member state of fingerprints or other biometric-based 88 information on license applicants at the time of initial 89 licensing for the purpose of obtaining that applicant's 90 criminal history record information, as defined in 28 C.F.R. § 91 20.3(d) or successor provision, from the Federal Bureau of 92 Investigation and the state's criminal history record 93 repository, as defined in 28 C.F.R. § 20.3(f) or successor 94 provision.

95 (10) DATA SYSTEM. The commission's repository of
96 information about licensees as further set forth in Section
97 34-27B-57.

98 (11) DOMICILE. The jurisdiction which is the licensee's99 principal home for legal purposes.

100 (12) ENCUMBERED LICENSE. A license that a state's 101 respiratory therapy licensing authority has limited in any 102 way.

(13) EXECUTIVE COMMITTEE. A group of directors elected
or appointed to act on behalf of, and within the powers
granted to them, by the commission.

106 (14) HOME STATE. Except as set forth in Section 107 34-27B-54, the member state that is the licensee's primary 108 domicile.

109 (15) HOME STATE LICENSE. An active license to practice 110 respiratory therapy in a home state that is not an encumbered 111 license.

112 (16) JURISPRUDENCE REQUIREMENT. An assessment of an



113 individual's knowledge of the state rules governing the 114 practice of respiratory therapy in such state.

(17) LICENSEE. An individual who currently holds an authorization from the state to practice as a respiratory therapist.

(18) MEMBER STATE. A state that has enacted the compact and been admitted to the commission in accordance with the provisions herein and commission rules.

(19) MODEL COMPACT. The model for the Respiratory Care
Interstate Compact on file with The Council of State
Governments or other entity as designated by the commission.

124 (20) REMOTE STATE. A member state where a licensee is125 exercising or seeking to exercise the compact privilege.

126 (21) RESPIRATORY THERAPIST OF RESPIRATORY CARE 127 PRACTITIONER. An individual who holds a credential issued by 128 the National Board for Respiratory Care, or its successor, and 129 who holds a license to practice respiratory therapy, and who 130 meets all of the requirements outlined in Section 34-27B-3. 131 For purposes of this compact, any other title or status 132 adopted by a state to replace the term "respiratory therapist" 133 or "respiratory care practitioner" shall be deemed synonymous 134 with "respiratory therapist" and shall confer the same rights 135 and responsibilities to the licensee under the provisions of 136 this compact at the time of its enactment.

137 (22) RESPIRATORY THERAPY, RESPIRATORY THERAPY PRACTICE,
138 RESPIRATORY CARE, THE PRACTICE OF RESPIRATORY CARE, or THE
139 PRACTICE OF RESPIRATORY THERAPY. The care and services
140 provided by or under the direction and supervision of a



141 respiratory therapist or respiratory care practitioner as set 142 forth in the member state's statutes and rules in the state 143 where the services are being provided.

144 (23) RESPIRATORY THERAPY LICENSING AUTHORITY. The
145 agency, board, or other body of a state that is responsible
146 for licensing and regulation of respiratory therapists.

147 (24) RULE. A regulation adopted by an entity that has148 the force and effect of law.

149 (25) SCOPE OF PRACTICE. The procedures, actions, and 150 processes a respiratory therapist licensed in a state or 151 practicing under a compact privilege in a state is permitted to undertake in that state and the circumstances under which 152 153 the respiratory therapist is permitted to undertake those 154 procedures, actions, and processes. Such procedures, actions, 155 and processes, and the circumstances under which they may be 156 undertaken may be established through means, including, but 157 not limited to, statute, rules, case law, and other processes 158 available to the state respiratory therapy licensing authority 159 or other government agency.

160 (26) SIGNIFICANT INVESTIGATIVE INFORMATION. 161 Information, records, and documents received or generated by a 162 state respiratory therapy licensing authority pursuant to an investigation for which a determination has been made that 163 164 there is probable cause to believe that the licensee has 165 violated a statute or rule that is considered more than a 166 minor infraction for which the state respiratory therapy licensing authority could pursue adverse action against the 167 168 licensee.



169 (27) STATE. Any state, commonwealth, district, or 170 territory of the United States. 171 \$34-27B-52. State Participation in the Compact. 172 (a) In order to participate in this compact and 173 thereafter continue as a member state, a member state shall do 174 all of the following: 175 (1) Enact a compact that is not materially different 176 from the model compact. 177 (2) License respiratory therapists. (3) Participate in the commission's data system. 178 179 (4) Have a mechanism in place for receiving and investigating complaints against licensees and compact 180 privilege holders. 181 (5) Notify the commission, in compliance with the terms 182 183 of this compact and commission rules, of any adverse action 184 against a licensee, a compact privilege holder, or a license 185 applicant. 186 (6) Notify the commission, in compliance with the terms 187 of this compact and commission rules, of the existence of 188 significant investigative information. 189 (7) Comply with the rules of the commission. 190 (8) Grant the compact privilege to a holder of an active home state license and otherwise meet the applicable 191 192 requirements of Section 34-27B-53 in a member state. 193 (9) Complete a criminal background check for each new 194 licensee at the time of initial licensure. Where expressly authorized or permitted by federal law, whether such federal 195 196 law is in effect prior to, at, or after the time of a member

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197 state's enactment of this compact, a member state's enactment 198 of this compact shall hereby authorize the member state's 199 respiratory therapy licensing authority to perform criminal background checks as defined herein. The absence of such a 200 201 federal law as described in this subsection shall not prevent 202 or preclude such authorization where it may be derived or 203 granted through means other than the enactment of this 204 compact.

(b) Nothing in this compact prohibits a member state from charging a fee for granting and renewing the compact privilege.

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\$34-27B-53. Compact Privilege.

(a) To exercise the compact privilege under the terms and provisions of the compact, the licensee shall do all of the following:

212 (1) Hold and maintain an active home state license as a 213 respiratory therapist.

(2) Hold and maintain an active credential from the National Board for Respiratory Care, or its successor, that would qualify them for licensure in the remote state in which they are seeking the privilege.

218 (3) Have not had any adverse action against a license 219 within the previous two years.

(4) Notify the commission that the licensee is seekingthe compact privilege within a remote state or states.

(5) Pay any applicable fees, including any state and
commission fees and renewal fees, for the compact privilege.
(6) Meet any jurisprudence requirements established by



225 the remote state in which the licensee is seeking a compact 226 privilege.

(7) Report to the commission any adverse action taken by any non-member state within 30 days from the date the adverse action is taken.

(8) Report to the commission, when applying for a compact privilege, the address of the licensee's domicile and thereafter promptly report to the commission any change in the address of the licensee's domicile within 30 days of the effective date of the change in address.

(9) Consent to accept service of process by U.S. mail at the licensee's domicile on record with the commission with respect to any action brought against the licensee by the commission or a member state, and consent to accept service of a subpoena by U.S. mail at the licensee's domicile on record with the commission with respect to any action brought or investigation conducted by the commission or a member state.

242 (b) The compact privilege is valid until the expiration 243 date or revocation of the home state license unless terminated 244 pursuant to adverse action. The licensee must comply with all 245 of the requirements of subsection (a) to maintain the compact 246 privilege in a remote state. If those requirements are met, no 247 adverse actions are taken, and the licensee has paid any applicable compact privilege renewal fees, then the licensee 248 249 will maintain the licensee's compact privilege.

(c) A licensee providing respiratory therapy in a
 remote state under the compact privilege shall function within
 the scope of practice authorized by the remote state for the



type of respiratory therapist license the licensee holds. Such procedures, actions, processes, and the circumstances under which they may be undertaken may be established through means, including, but not limited to, statute, rules, case law, and other processes available to the state respiratory therapy licensing authority or other government agency.

(d) If a licensee's compact privilege in a remote state is removed by the remote state, the individual shall lose or be ineligible for the compact privilege in that remote state until the compact privilege is no longer limited or restricted by that state.

(e) If a home state license is encumbered, the licensee shall lose the compact privilege in all remote states until both of the following occur:

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(1) The home state license is no longer encumbered.

268 (2) Two years have elapsed from the date on which the269 license is no longer encumbered due to the adverse action.

(f) Once a licensee with a restricted or limited license meets the requirements of subsection (e), the licensee must also meet the requirements of subsection (a) to obtain a compact privilege in a remote state.

274 §34-27B-54. Active Military Member or Their Spouse.

(a) An active military member or their spouse shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty.

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(b) An active military member and their spouse shall



not be required to pay to the commission for a compact privilege any fee that may otherwise be charged by the commission. If a remote state chooses to charge a fee for a compact privilege, it may choose to charge a reduced fee or no fee to an active military member and their spouse for a compact privilege.

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\$34-27B-55. Adverse Actions.

(a) A member state in which a licensee is licensed shall have authority to impose adverse action against the license issued by that member state.

(b) A member state may take adverse action based on significant investigative information of a remote state or the home state, so long as the member state follows its own procedures for imposing adverse action.

(c) Nothing in this compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action and that such participation shall remain nonpublic if required by the member state's laws.

300 (d) A remote state shall have the authority to:

301 (1) Take adverse actions as set forth herein against a302 licensee's compact privilege in that state.

303 (2) Issue subpoenas for both hearings and 304 investigations that require the attendance and testimony of 305 witnesses, and the production of evidence.

306 a. Subpoenas may be issued by a respiratory therapy
 307 licensing authority in a member state for the attendance and
 308 testimony of witnesses and the production of evidence.



309 b. Subpoenas issued by a respiratory therapy licensing 310 authority in a member state for the attendance and testimony 311 of witnesses shall be enforced in the latter state by any 312 court of competent jurisdiction in the latter state, according 313 to the practice and procedure of that court applicable to 314 subpoenas issued in proceedings pending before it.

315 c. Subpoenas issued by a respiratory therapy licensing 316 authority in a member state for production of evidence from 317 another member state shall be enforced in the latter state, 318 according to the practice and procedure of that court 319 applicable to subpoenas issued in the proceedings pending 320 before it.

321 d. The issuing authority shall pay any witness fees, 322 travel expenses, mileage, and other fees required by the 323 service statutes of the state where the witnesses or evidence 324 are located.

325 (3) Unless otherwise prohibited by state law, recover 326 from the licensee the costs of investigations and disposition 327 of cases resulting from any adverse action taken against that 328 licensee.

(4) Notwithstanding subdivision (d) (2), a member state may not issue a subpoena to gather evidence of conduct in another member state that is lawful in such other member state for the purpose of taking adverse action against a licensee's compact privilege or application for a compact privilege in that member state.

335 (5) Nothing in this compact authorizes a member state336 to impose discipline against a respiratory therapist's compact



337 privilege in that member state for the individual's otherwise 338 lawful practice in another state.

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(e) Joint investigations.

340 (1) In addition to the authority granted to a member 341 state by its respective Respiratory Therapy Practice Act or other applicable state law, a member state may participate 342 with other member states in joint investigations of licensees, 343 344 provided, however, that a member state receiving such a 345 request has no obligation to respond to any subpoena issued regarding an investigation of conduct or practice that was 346 347 lawful in a member state at the time it was undertaken.

(2) Member states shall share any significant 348 349 investigative information, litigation, or compliance materials 350 in furtherance of any joint or individual investigation 351 initiated under the compact. In sharing such information 352 between member state respiratory therapy licensing 353 authorities, all information obtained shall be kept 354 confidential, except as otherwise mutually agreed upon by the 355 sharing and receiving member state or states.

(f) Nothing in this compact permits a member state to take any adverse action against a licensee or holder of a compact privilege for conduct or practice that was legal in the member state at the time it was undertaken.

360 (g) Nothing in this compact permits a member state to 361 take disciplinary action against a licensee or holder of a 362 compact privilege for conduct or practice that was legal in 363 the member state at the time it was undertaken.

364 §34-27B-56. Establishment of the Respiratory Care



365 Interstate Compact Commission.

366 (a) The compact member states hereby create and 367 establish a joint government agency whose membership consists 368 of all member states that have enacted the compact known as 369 the Respiratory Care Interstate Compact Commission. The 370 commission is an instrumentality of the compact member states 371 acting jointly and not an instrumentality of any one state. 372 The commission shall come into existence on or after the effective date of the compact, as set forth in Section 373 34-27B-60. 374

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(b) Membership, voting, and meetings.

376 (1) Each member state shall have and be limited to one
377 commissioner selected by that member state's respiratory
378 therapy licensing authority.

379 (2) The commissioner shall be an administrator or their
 380 designated staff member of the member state's respiratory
 381 therapy licensing authority.

(3) The commission, by rule or bylaw, shall establish a
term of office for commissioners and, by rule or bylaw, may
establish term limits.

385 (4) The commission may recommend to a member state the 386 removal or suspension of any commissioner from office.

387 (5) A member state's respiratory therapy licensing
 388 authority shall fill any vacancy of its commissioner occurring
 389 on the commission within 60 days of the vacancy.

390 (6) Each commissioner shall be entitled to one vote on
391 all matters before the commission requiring a vote by
392 commissioners.



393 (7) A commissioner shall vote in person or by such 394 other means as provided in the bylaws. The bylaws may provide 395 for commissioners to meet by telecommunication, video 396 conference, or other means of communication. 397 (8) The commission shall meet at least once during each 398 calendar year. Additional meetings may be held as set forth in 399 the bylaws. 400 (c) The commission shall have all of the following 401 powers: (1) Establish and amend the fiscal year of the 402 403 commission. (2) Establish and amend bylaws and policies, including, 404 405 but not limited to, a code of conduct and conflict of 406 interest. 407 (3) Establish and amend rules, which shall be binding 408 in all member states. 409 (4) Maintain its financial records in accordance with 410 the bylaws. 411 (5) Meet and take such actions as are consistent with 412 the provisions of this compact, the commission's rules, and 413 the bylaws. (6) Initiate and conduct legal proceedings or actions 414 415 in the name of the commission; provided, that the standing of 416 any respiratory therapy licensing authority to sue or be sued under applicable law shall not be affected. 417 (7) Maintain and certify records and information 418 provided to a member state as the authenticated business 419 420 records of the commission, and designate an agent to do so on



421 the commission's behalf.

422 (8) Purchase and maintain insurance and bonds.
423 (9) Accept or contract for services of personnel,
424 including, but not limited to, employees of a member state.
425 (10) Conduct an annual financial review.
426 (11) Hire employees, elect or appoint officers, fix

427 compensation, define duties, grant such individuals 428 appropriate authority to carry out the purposes of the 429 compact, and establish the commission's personnel policies and 430 programs relating to conflicts of interest, qualifications of 431 personnel, and other related personnel matters.

432

(12) Assess and collect fees.

433 (13) Accept any and all appropriate gifts, donations,
434 grants of money, other sources of revenue, equipment,
435 supplies, materials, and services, and receive, utilize, and
436 dispose of the same, provided that at all times:

437 a. The commission shall avoid any appearance of438 impropriety.

b. The commission shall avoid any appearance ofconflict of interest.

(14) Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest therein.

444 (15) Sell, convey, mortgage, pledge, lease, exchange,
445 abandon, or otherwise dispose of any property real, personal,
446 or mixed.

447 (16) Establish a budget and make expenditures.448 (17) Borrow money in a fiscally responsible manner.



(18) Appoint committees, including standing committees, composed of commissioners, state regulators, state legislators or their representatives, consumer representatives, and such other interested persons as may be designated in this compact and the bylaws.

454 (19) Provide and receive information from, and455 cooperate with, law enforcement agencies.

456 (20) Establish and elect an executive committee,
457 including a chair, vice chair, secretary, treasurer, and such
458 other offices as the commission shall establish by rule or
459 bylaw.

460 (21) Enter into contracts or arrangements for the461 management of the affairs of the commission.

462 (22) Determine whether a state's adopted language is
463 materially different from the model compact language such that
464 the state would not qualify for participation in the compact.

465 (23) Perform such other functions as may be necessary466 or appropriate to achieve the purposes of this compact.

467

(d) The Executive Committee.

(1) The executive committee shall have the power to act
on behalf of the commission according to the terms of this
compact. The powers, duties, and responsibilities of the
executive committee shall include all of the following:

a. Overseeing the day-to-day activities of the
administration of the compact, including enforcement and
compliance with the provisions of the compact, its rules and
bylaws, and other such duties as deemed necessary.

b. Recommending to the commission changes to the rules



477 or bylaws, changes to this compact legislation, fees charged 478 to compact member states, fees charged to licensees, and other 479 fees.

480 c. Ensuring compact administration services are481 appropriately provided, including by contract.

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d. Preparing and recommending the budget.

483 e. Maintaining financial records on behalf of the484 commission.

485 f. Monitoring compact compliance of member states and 486 providing compliance reports to the commission.

487

g. Establishing additional committees as necessary.

488 h. Exercising the powers and duties of the commission 489 during the interim between commission meetings, except for 490 adopting or amending rules, adopting or amending bylaws, and 491 exercising any other powers and duties expressly reserved to 492 the commission by rule or bylaw.

493 i. Performing other duties as provided in the rules or494 bylaws of the commission.

495 (2) The executive committee shall be composed of up to
496 nine members, as further set forth in the bylaws of the
497 commission:

a. Seven voting members who are elected by the
commission from the current membership of the commission; and
b. Two ex officio, nonvoting members.

501 (3) The commission may remove any member of the502 executive committee as provided in the commission's bylaws.

503 (4) The executive committee shall meet at least 504 annually.



505 a. Executive committee meetings shall be open to the public, except that the executive committee may meet in a 506 507 closed, nonpublic meeting as provided in subdivision (f)(4). 508 b. The executive committee shall give advance notice of 509 its meetings, posted on its website and as determined to 510 provide notice to persons with an interest in the business of 511 the commission. 512 c. The executive committee may hold a special meeting 513 in accordance with subdivision (f)(2). 514 (e) The commission shall adopt and provide to the 515 member states an annual report. (f) Meetings of the commission. 516 517 (1) All meetings of the commission that are not closed 518 pursuant to subdivision (4) shall be open to the public. 519 Notice of public meetings shall be posted on the commission's 520 website at least 30 days prior to the public meeting. 521 (2) Notwithstanding subdivision (1), the commission may 522 convene an emergency public meeting by providing at least 523 24-hours' prior notice on the commission's website, and any 524 other means as provided in the commission's rules, for any of 525 the reasons it may dispense with notice of proposed rulemaking 526 under Section 34-27B-58(q). The commission's legal counsel 527 shall certify that one of the reasons justifying an emergency 528 public meeting has been met.

529 (3) Notice of all commission meetings shall provide the 530 time, date, and location of the meeting, and if the meeting is 531 to be held or accessible via telecommunication, video 532 conference, or other electronic means, the notice shall

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533 include the mechanism for access to the meeting. 534 (4) The commission or the executive committee may 535 convene in a closed, nonpublic meeting for the commission or 536 executive committee to receive or solicit legal advice or to 537 discuss any of the following: 538 a. Noncompliance of a member state with its obligations 539 under the compact. 540 b. The employment, compensation, discipline, or other 541 matters, practices, or procedures related to specific 542 employees. 543 c. Current or threatened discipline of a licensee or 544 compact privilege holder by the commission or by a member state's respiratory therapy licensing authority. 545 546 d. Current, threatened, or reasonably anticipated 547 litigation. e. Negotiation of contracts for the purchase, lease, or 548 549 sale of goods, services, or real estate. 550 f. Accusing any person of a crime or formally censuring 551 any person. 552 g. Trade secrets or commercial or financial information 553 that is privileged or confidential. 554 h. Information of a personal nature where disclosure 555 would constitute a clearly unwarranted invasion of personal 556 privacy. 557 i. Investigative records compiled for law enforcement 558 purposes. j. Information related to any investigative reports 559 560 prepared by, or on behalf of or for use of, the commission or



561 other committee charged with responsibility of investigation 562 or determination of compliance issues pursuant to the compact. 563 k. Legal advice. 564 1. Matters specifically exempted from disclosure by 565 federal or member state law. 566 m. Other matters as adopted by the commission by rule. 567 (5) If a meeting, or portion of a meeting, is closed, 568 the presiding officer shall state that the meeting will be 569 closed and reference each relevant exempting provision, and 570 such reference shall be recorded in the minutes. 571 (6) The commission shall keep minutes in accordance with commission rules and bylaws. All documents considered in 572 connection with an action shall be identified in such minutes. 573 574 All minutes and documents of a closed meeting shall remain 575 under seal, subject to release only by a majority vote of the commission or order of a court of competent jurisdiction. 576

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(g) Financing of the commission.

578 (1) The commission shall pay, or provide for the
579 payment of, the reasonable expenses of its establishment,
580 organization, and ongoing activities.

581 (2) The commission may accept any and all appropriate582 revenue sources as provided herein.

(3) The commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member states to whom it grants a compact privilege to cover the cost of the operations and activities of the commission and its staff. The aggregate annual assessment amount for member states, if any, shall be allocated based



589 upon a formula that the commission shall adopt by rule.

(4) The commission shall not incur obligations of any kind prior to securing the funds or a loan adequate to meet the same; nor shall the commission pledge the credit of any of the member states, except by and with the authority of the member state.

595 (5) The commission shall keep accurate accounts of all 596 receipts and disbursements. The receipts and disbursements of 597 the commission shall be subject to the financial review and accounting procedures established under its bylaws. However, 598 599 all receipts and disbursements of funds handled by the commission shall be subject to an annual financial review by a 600 601 certified or licensed public accountant, and the report of the 602 financial review shall be included in and become part of the 603 annual report of the commission.

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(h) Qualified immunity, defense, and indemnification.

(1) Nothing herein shall be construed as a limitation
on the liability of any licensee for professional malpractice
or misconduct, which shall be governed solely by any other
applicable state laws.

609 (2) The member states, commissioners, officers, 610 executive directors, employees, and agents of the commission 611 shall be immune from suit and liability, both personally and 612 in their official capacity, for any claim for damage to or 613 loss of property or personal injury or other civil liability 614 caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the 615 616 claim is made had a reasonable basis for believing occurred



617 within the scope of commission employment, duties, or 618 responsibilities; provided, that nothing in this subsection 619 shall be construed to protect any such person from suit or 620 liability for any damage, loss, injury, or liability caused by 621 the intentional, willful, or wanton misconduct of that person. 622 The procurement of insurance of any type by the commission 623 shall not in any way compromise or limit the immunity granted 624 hereunder.

625 (3) The commission shall defend any commissioner, officer, executive director, employee, and agent of the 626 627 commission in any civil action seeking to impose liability 628 arising out of any actual or alleged act, error, or omission 629 that occurred within the scope of commission employment, 630 duties, or responsibilities, or as determined by the 631 commission that the person against whom the claim is made had 632 a reasonable basis for believing occurred within the scope of 633 commission employment, duties, or responsibilities; provided, 634 that nothing herein shall be construed to prohibit that person 635 from retaining their own counsel at their own expense; and 636 provided further, that the actual or alleged act, error, or 637 omission did not result from that person's intentional, 638 willful, or wanton misconduct.

(4) The commission shall indemnify and hold harmless
any commissioner, member, officer, executive director,
employee, and agent of the commission for the amount of any
settlement or judgment obtained against that person arising
out of any actual or alleged act, error, or omission that
occurred within the scope of commission employment, duties, or



645 responsibilities, or that such person had a reasonable basis 646 for believing occurred within the scope of commission 647 employment, duties, or responsibilities; provided, that the 648 actual or alleged act, error, or omission did not result from 649 the intentional, willful, or wanton misconduct of that person. 650 (5) Nothing in this compact shall be interpreted to 651 waive or otherwise abrogate a member state's state action 652 immunity or state action affirmative defense with respect to 653 antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or anticompetitive law or 654 655 rule. 656 (6) Nothing in this compact shall be construed to be a waiver of sovereign immunity by the member states or by the 657 658 commission. 659 §34-27B-57. Data System.

(a) The commission shall provide for the development,
maintenance, operation, and utilization of a coordinated
database and reporting system containing licensure, adverse
action, and the presence of significant investigative
information.

(b) Notwithstanding any other provision of state law to
the contrary, a member state shall submit a uniform data set
to the data system as required by the rules of the commission,
including, but not limited to, all of the following:

669 (1) Identifying information.

670 (2) Licensure data.

671 (3) Adverse actions against a licensee, license672 applicant, or compact privilege holder and information related



673 thereto.

(4) Nonconfidential information related to alternative
program participation, the beginning and ending dates of such
participation, and other information related to such
participation not made confidential under member state law.

678 (5) Any denial of application for licensure, and the679 reason or reasons for such denial.

680 (6) The presence of current significant investigative681 information.

682 (7) Other information that may facilitate the
683 administration of this compact or the protection of the
684 public, as determined by the rules of the commission.

(c) No member state shall submit any information which constitutes criminal history record information, as defined by applicable federal law, to the data system established hereunder.

(d) The records and information provided to a member state pursuant to this compact or through the data system, when certified by the commission or an agent thereof, shall constitute the authenticated business records of the commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial, or administrative proceedings in a member state.

(e) Significant investigative information pertaining to
a licensee in any member state will only be available to other
member states.

(f) It is the responsibility of the member states toreport any adverse action against a licensee and to monitor



701 the database to determine whether adverse action has been 702 taken against a licensee. Adverse action information 703 pertaining to a licensee in any member state will be available 704 to any other member state.

(g) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(h) Any information submitted to the data system that is subsequently expunded pursuant to federal law or the laws of the member state contributing the information shall be removed from the data system.

713

§34-27B-58. Rulemaking.

714 (a) The commission shall adopt reasonable rules in 715 order to effectively and efficiently implement and administer 716 the purposes and provisions of the compact. A rule shall be 717 invalid and have no force or effect only if a court of 718 competent jurisdiction holds that the rule is invalid because 719 the commission exercised its rulemaking authority in a manner 720 that is beyond the scope and purposes of the compact, or the 721 powers granted hereunder, or based upon another applicable 722 standard of review.

(b) For purposes of the compact, the rules of thecommission shall have the force of law in each member state.

(c) The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules shall become binding as of the date specified in each rule.



(d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within four years of the date of adoption of the rule, then the rule shall have no further force and effect in any member state.

(e) Rules shall be adopted at a regular or specialmeeting of the commission.

(f) Prior to adoption of a proposed rule, the commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.

(g) Prior to adoption of a proposed rule by the commission, and at least 30 days in advance of the meeting at which the commission will hold a public hearing on the proposed rule, the commission shall provide a notice of proposed rulemaking:

746 (1) On the website of the commission or other publicly 747 accessible platform;

748 (2) To persons who have requested notice of the749 commission's notices of proposed rulemaking; and

(3) In such other way or ways as the commission may byrule specify.

(h) The notice of proposed rulemaking shall include allof the following:

(1) The time, date, and location of the public hearing at which the commission will hear public comments on the proposed rule and, if different, the time, date, and location



757 of the meeting where the commission will consider and vote on 758 the proposed rule.

(2) If the hearing is held via telecommunication, video conference, or other electronic means, the commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking.

763 (3) The text of the proposed rule and the reason764 therefore.

765 (4) A request for comments on the proposed rule from766 any interested person.

767 (5) The manner in which interested persons may submit768 written comments.

(i) All hearings will be recorded. A copy of the recording and all written comments and documents received by the commission in response to the proposed rule shall be available to the public.

(j) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the commission at hearings required by this section.

(k) The commission shall, by majority vote of all commissioners, take final action on the proposed rule based on the rulemaking record and the full text of the rule.

(1) The commission may adopt changes to the proposed
rule provided the changes are consistent with the original
purpose of the proposed rule.

783 (2) The commission shall provide an explanation of the784 reasons for substantive changes made to the proposed rule as



785 well as reasons for substantive changes not made that were 786 recommended by commenters.

(3) The commission shall determine a reasonable
effective date for the rule. Except for an emergency as
provided in subsection (1), the effective date of the rule
shall be no sooner than 30 days after issuing the notice that
it adopted or amended the rule.

792 (1) Upon determination that an emergency exists, the 793 commission may consider and adopt an emergency rule with 24 794 hours' notice, and with opportunity to comment; provided, that 795 the usual rulemaking procedures provided in the compact and in 796 this section shall be retroactively applied to the rule as 797 soon as reasonably possible, in no event later than 90 days 798 after the effective date of the rule. For the purposes of this 799 provision, an emergency rule is one that must be adopted 800 immediately in order to:

801 (1) Meet an imminent threat to public health, safety, 802 or welfare;

803 (2) Prevent a loss of commission or member state funds;
804 (3) Meet a deadline for the adoption of a rule that is
805 established by federal law or rule; or

806

(4) Protect public health and safety.

(m) The commission or an authorized committee of the commission may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the commission. The revision shall be subject to challenge by any



813 person for a period of 30 days after posting. The revision may 814 be challenged only on grounds that the revision results in a 815 material change to a rule. A challenge shall be made in 816 writing and delivered to the commission prior to the end of 817 the notice period. If no challenge is made, the revision will 818 take effect without further action. If the revision is 819 challenged, the revision may not take effect without the 820 approval of the commission.

821 (n) (1) No member state's rulemaking process or822 procedural requirements shall apply to the commission.

823 (2) The commission shall have no authority over any
824 member state's rulemaking process or procedural requirements
825 that do not pertain to the compact.

(o) Nothing in this compact, nor any rule of the
commission, shall be construed to limit, restrict, or in any
way reduce the ability of a member state to enact and enforce
laws or other rules related to the practice of respiratory
therapy in that state, where those laws, regulations, or other
rules are not inconsistent with the provisions of this
compact.

\$34-27B-59. Oversight, Dispute Resolution, andEnforcement.

835 (a) Oversight.

(1) The executive and judicial branches of state
government in each member state shall enforce this compact and
take all actions necessary and appropriate to implement the
compact.

840

(2) Venue is proper and judicial proceedings by or



841 against the commission shall be brought solely and exclusively 842 in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive 843 844 venue and jurisdictional defenses to the extent it adopts or 845 consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the 846 847 selection or propriety of venue in any action against a 848 licensee for professional malpractice, misconduct, or any such 849 similar matter.

(3) The commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the commission service of process shall render a judgment or order void as to the commission, this compact, or adopted rules.

857

(b) Default, technical assistance, and termination.

858 (1) If the commission determines that a member state 859 has defaulted in the performance of its obligations or 860 responsibilities under this compact or the adopted rules, the 861 commission shall provide written notice to the defaulting 862 state. The notice of default shall describe the default, the 863 proposed means of curing the default, and any other action 864 that the commission may take, and shall offer training and 865 specific technical assistance regarding the default.

866 (2) The commission shall provide a copy of the notice867 of default to the other member states.

868

(c) If a state in default fails to cure the default,



the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the commissioners of the member states, and all rights, privileges, and benefits conferred on that state by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

876 (d) Termination of membership in the compact shall be 877 imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall 878 879 be given by the commission to the governor, the majority and 880 minority leaders of the defaulting state's legislature, the 881 defaulting state's respiratory therapy licensing authority, 882 and each of the member states' respiratory therapy licensing 883 authorities.

(e) A state that has been terminated is responsible for
all assessments, obligations, and liabilities incurred through
the effective date of termination, including obligations that
extend beyond the effective date of termination, if necessary.

(f) Upon the termination of a state's membership from this compact, that state shall immediately provide notice to all licensees and compact privilege holders, of which the commission has a record, within that state of such termination. The terminated state shall continue to recognize all licenses granted pursuant to this compact for a minimum of 180 days after the date of the notice of termination.

(g) The commission shall not bear any costs related toa state that is found to be in default or that has been



897 terminated from the compact, unless agreed upon in writing 898 between the commission and the defaulting state.

(h) The defaulting state may appeal the action of the commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorney fees.

905

(i) Dispute resolution.

906 (1) Upon request by a member state, the commission 907 shall attempt to resolve disputes related to the compact that 908 arise among member states and between member and nonmember 909 states.

910 (2) The commission shall adopt a rule providing for
911 both mediation and binding dispute resolution for disputes, as
912 appropriate.

913

(j) Enforcement.

914 (1) By majority vote, as may be further provided by 915 rule, the commission may initiate legal action against a member state in default in the United States District Court 916 917 for the District of Columbia or the federal district where the 918 commission has its principal offices to enforce compliance 919 with the provisions of the compact and its adopted rules. A 920 member state by enactment of this compact consents to venue 921 and jurisdiction in such court for the purposes set forth 922 herein. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, 923 924 the prevailing party shall be awarded all costs of such



925 litigation, including reasonable attorney fees. The remedies 926 herein shall not be the exclusive remedies of the commission. 927 The commission may pursue any other remedies available under 928 federal or the defaulting member state's law.

929 (2) A member state may initiate legal action against 930 the commission in the U.S. District Court for the District of Columbia or the federal district where the commission has its 931 932 principal offices to enforce compliance with the provisions of 933 the compact and its adopted rules. The relief sought may include both injunctive relief and damages. In the event 934 935 judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable 936 937 attorney fees.

938 (3) No person other than a member state shall enforce 939 this compact against the commission.

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\$34-27B-60. Effective Date, Withdrawal, and Amendment. (a) The compact shall come into effect on the date on 942 which the compact statute is enacted into law in the seventh

943 member state.

944 (1) On or after the effective date of the compact, the 945 commission shall convene and review the enactment of each of 946 the first seven member states referred to as "charter member 947 states," to determine if the statute enacted by each charter 948 member state is materially different than the model compact.

949 a. A charter member state whose enactment is found to 950 be materially different from the model compact shall be entitled to the default process set forth in Section 951 952 34-27B-59.



953 b. If any member state is later found to be in default, 954 or is terminated or withdraws from the compact, the commission 955 shall remain in existence and the compact shall remain in 956 effect even if the number of member states should be less than 957 seven.

958 (2) Member states enacting the compact subsequent to 959 the seven initial charter member states shall be subject to 960 the process set forth herein and commission rule to determine 961 if their enactments are materially different from the model 962 compact and whether they qualify for participation in the 963 compact.

(3) All actions taken for the benefit of the commission 964 965 or in furtherance of the purposes of the administration of the 966 compact prior to the effective date of the compact or the 967 commission coming into existence shall be considered to be actions of the commission unless specifically repudiated by 968 969 the commission. The commission shall own and have all rights 970 to any intellectual property developed on behalf or in 971 furtherance of the commission by individuals or entities 972 involved in organizing or establishing the commission, as may 973 be further set forth in rules of the commission.

974 (4) Any state that joins the compact subsequent to the 975 commission's initial adoption of the rules and bylaws shall be 976 subject to the rules and bylaws as they exist on the date on 977 which the compact becomes law in that state. Any rule that has 978 been previously adopted by the commission shall have the full 979 force and effect of law on the date the compact becomes law in 980 that state.



981 (b) Any member state may withdraw from this compact by982 enacting a statute repealing the same.

983 (1) A member state's withdrawal shall not take effect984 until 180 days after enactment of the repealing statute.

985 (2) Withdrawal shall not affect the continuing 986 requirement of the withdrawing state's respiratory therapy 987 licensing authority to comply with the investigative and 988 adverse action reporting requirements of this compact prior to 989 the effective date of withdrawal.

990 (3) Upon the enactment of a statute withdrawing from 991 this compact, a state shall immediately provide notice of such withdrawal to all licensees and compact privilege holders, of 992 993 which the commission has a record, within that state. 994 Notwithstanding any subsequent statutory enactment to the 995 contrary, such withdrawing state shall continue to recognize 996 all licenses granted pursuant to this compact for a minimum of 997 180 days after the date of such notice of withdrawal.

998 (c) Nothing contained in this compact shall be 999 construed to invalidate or prevent any licensure agreement or 1000 other cooperative arrangement between a member state and a 1001 nonmember state that does not conflict with the provisions of 1002 this compact.

(d) This compact may be amended by the member states.
No amendment to this compact shall become effective and
binding upon any member state until it is enacted into the
laws of all member states.

1007 §34-27B-61. Construction and Severability.

1008 (a) This compact and the commission's rulemaking



authority shall be liberally construed so as to effectuate the purposes and the implementation and administration of the compact. Provisions of the compact expressly authorizing or requiring the adoption of rules shall not be construed to limit the commission's rulemaking authority solely for those purposes.

1015 (b) The provisions of this compact shall be severable, 1016 and if any phrase, clause, sentence, or provision of this compact is held by a court of competent jurisdiction to be 1017 contrary to the constitution of any member state, a state 1018 1019 seeking participation in the compact, or of the United States, or the applicability thereof to any government, agency, 1020 1021 person, or circumstance is held to be unconstitutional by a 1022 court of competent jurisdiction, the validity of the remainder 1023 of this compact and the applicability thereof to any other 1024 government, agency, person, or circumstance shall not be 1025 affected thereby.

1026 (c) Notwithstanding subsection (b), the commission may 1027 deny a state's participation in the compact or, in accordance 1028 with the requirements of Section 34-27B-59, terminate a member 1029 state's participation in the compact, if it determines that a 1030 constitutional requirement of a member state is a material 1031 departure from the compact. Otherwise, if this compact shall 1032 be held to be contrary to the constitution of any member 1033 state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to 1034 the member state affected as to all severable matters. 1035

1036 §34-27B-62. Consistent Effect and Conflict With Other

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1037 State Laws.

1038 (a) Nothing herein shall prevent or inhibit the
1039 enforcement of any other law of a member state that is not
1040 inconsistent with the compact.

1041 (b) Any laws, statutes, rules, or other legal 1042 requirements in a member state in conflict with the compact 1043 are superseded to the extent of the conflict, including any 1044 subsequently enacted state laws.

1045 (c) All permissible agreements between the commission 1046 and the member states are binding in accordance with their 1047 terms.

1048 (d) Other than as expressly set forth herein, nothing 1049 in this compact will impact initial licensure.

(d) Nothing in this compact shall be interpreted to modify, amend, repeal, or supersede any state criminal or civil liability laws.

(e) In the event the commission adopts rules to coordinate the implementation or administration of this compact which conflict with Alabama law, Alabama law shall supersede those rules, and Alabama state courts shall retain sole jurisdiction to determine any conflicts.

(f) Alabama state courts shall retain sole jurisdiction to determine whether provisions of this compact are in conflict with state laws or the Constitution of Alabama of 2022.

(g) Except as to judicial proceedings for the enforcement of this compact among member states, individuals may pursue judicial proceedings related to this compact in any



- 1065 Alabama state or federal court that would otherwise have
- 1066 competent jurisdiction.
- 1067 Section 3. This act shall become effective on October 1, 2025.



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1075	-	President and Presiding Officer of the Senate	
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1080	-	Speaker of the House of Representatives	
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1083	SB181		
1084	Senate C	06-Mar-25	
1085	I hereby	y certify that the within Act originated in and pas	sed
1086	the Sena	ate, as amended.	
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1088		Patrick Harris,	
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1089		Secretary.	
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