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5	A BILL
6	TO BE ENTITLED
7	AN ACT
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9	Relating to the Alabama Board for Registration of
10	Architects; to amend Sections 34-2-33, 34-2-34, 34-2-35,
11	34-2-36, 34-2-37, 34-2-38, 34-2-39, 34-2-40, and 34-2-41, Code
12	of Alabama 1975; to repeal Section 34-2-42, Code of Alabama
13	1975; and to add Section 34-2-43 to the Code of Alabama 1975;
14	to further provide for the duties of the board; to further
15	regulate the practice of architecture by entities; and to make
16	nonsubstantive, technical revisions to update existing code
17	language to current style.
18	BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:
19	Section 1. Sections 34-2-33, 34-2-34, 34-2-35, 34-2-36,
20	34-2-37, 34-2-38, 34-2-39, 34-2-40, and 34-2-41, Code of
21	Alabama 1975, are amended to read as follows:
22	" §34-2-33
23	(a) The board shall receive applications for
24	registration as an architect only on forms prescribed and
25	furnished by the board.
26	(1) Upon receipt of the application and the payment of
27	a fee, as established by the board through the public
28	rulemaking process, the fee in no event exceeding two hundred



- fifty dollars (\$250), the board shall promptly notify the applicant of examination requirements for registration, if applicable.
 - (2) The board may contract with an independent testing agency to prepare, grade, or conduct the examination. If the board determines the applicant requires examination, the applicant shall pay the actual cost of the examination directly to the board-authorized testing agency.
 - (b) The board may issue to an applicant, without further examination, a certificate of registration as an architect, provided the applicant holds an unexpired certificate issued to him or her by the National Council of Architectural Registration Boards, hereinafter referred to as (NCARB).
 - (c) (1) The following facts established in the application shall be regarded as prima facie evidence satisfactory to the board that the applicant is fully qualified to be examined for registration:
 - (1)a. Graduation after a course of study of a length as the board shall by regulation determine from a school or college of architecture accredited by the National Architectural Accrediting Board, hereinafter referred to as (NAAB); and
 - (2)b. An additional period of practical experience in architectural work under the responsible control of a registered architect or architects as the board by regulation shall deem appropriate.
 - (2) If the applicant is unable to satisfy the



- 57 requirements of subdivision (1), the applicant may demonstrate 58 appropriate qualifications by a combination of education, experience, and examination endorsed by NCARB and approved by 59 60 the board. (d) Unless exempted, applicants shall take and pass the 61 62 professional examination administered by the board or an 63 independent testing agency approved by the board. 64 (d) In determining the sufficiency of the qualifications of the applicant for registration, a majority 65 vote of the members of the board shall be required. 66 67 (e) (1) Certificates for registration shall expire on December 31 following their issuance or renewal and shall 68 become invalid on that day January 1 unless renewed. 69 70 (2) Certificates of registrants who are or may be in 71 the Armed Forces of the United States shall not expire until December 31 following the discharge or final separation of the 72 73 registrant from the Armed Forces of the United States. 74 (f)(1) Renewal may be accomplished at any time prior to 75 or during the month of December by the payment of a fee 76 established by rule by the board not to exceed two hundred 77 fifty dollars (\$250). (2) A penalty not to exceed the sum of seventy-five 78 79 dollars (\$75) established by board rule may be added to the 80 renewal fee for failure to renew a certificate upon such terms 81 and conditions as the board may by regulation determine.
- Failure to renew a certificate of registration by March 31 shall result in a lapse of registration.

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(g) A registrant whose certificate of registration has



- lapsed may have it reinstated, if in compliance with other relevant requirements, by filing a reinstatement application and paying, in addition to the appropriate renewal fee and late penalty, a reinstatement fee of two hundred fifty dollars (\$250) established by board rule.
- purposes, a status to be known as "emeritus status architect," which shall apply to architects who have been registered for 10 consecutive years or longer, and who are 65 years of age or older, and who have retired from active practice. The annual renewal of registration for emeritus status shall be renewed without payment of a fee. If an emeritus status architect subsequently wishes to practice, he or she may do so without penalty by proper application to the board."

"\$34-2-34

- 100 <u>(a)</u> The board shall have the following disciplinary
 101 powers:
 - (1) To issue cease and desist letters to persons who are practicing architecture without a license.
- 104 (1) (2) To issue reprimands to any licensee who violates
 105 any provision of this chapter or the rules and regulations of
 106 the board.
- 107 (2) (3) To levy administrative fines for serious

 108 violations of this chapter or the rules and regulations of the

 109 board of not more than <u>five thousand dollars (\$5,000)</u> for each

 110 day the violation continues, but in no event shall an

 111 administrative fine exceed <u>twenty-five thousand dollars</u>

 112 (\$25,000) total per violation.

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- 113 (3) (4) To refuse to issue a certificate, to suspend a

 114 certificate for a definite period, or to revoke the

 115 certificate of registration of an architect who is found

 116 quilty of any of the following:
 - a. Any fraud or deceit in obtaining a certificate of registration as determined by the board at a hearing.
- b. Gross negligence, incompetence, or misconduct in the practice of architecture as determined by the board at a hearing.
- 122 c. A felony or misdemeanor involving moral turpitude by
 123 a court of competent jurisdiction.
- d. Practicing architecture in this state in violation of the standards of professional conduct established by the board.
- e. Practicing architecture in this or any other state or country in violation of the laws of that state or country;

 or.
- f. Aiding or abetting any individual, partnership, or corporation to engage in the practice of architecture in violation of any provisions of law.
 - (4) (b) Pursuant to subdivision (3) (a), notice of the nature of the charges placed against an architect and the time and place of hearing these charges by the board must be sent to the accused by certified mail, with return receipt requested, and addressed to his or her last known place of business, or residence, not less than 30 days before the date fixed for such hearing. The notice shall inform the individual that he or she is entitled to be represented by counsel of his



cross-examine witnesses at the hearing, and to testify in his

- or her choosing at the hearing, to have witnesses testify in his or her behalf at the hearing, to confront and
- or her own behalf at the hearing.
- 145 (c) In all cases of reprimand, administrative fine,

 146 refusal, suspension, or revocation of a certificate of

 147 registration, or any other disciplinary action of the board,

 148 the accused may appeal to the Circuit Court of Montgomery

 149 County, Alabama. Either party, the accused or the board, has

 150 the right to appeal from the final decree of the circuit court

 151 as provided by law."
- 152 "\$34-2-35

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- 153 (a) Each registrant must obtain a seal of a design
 154 authorized by the board bearing the registrant's name, the
 155 legend registered architect, the words State of Alabama, and
 156 the registrant's license registration number.
- 157 (b) Nothing in this chapter shall prevent a registered 158 architect from being employed by a person, firm, partnership, 159 corporation, or professional corporation.
 - (c) Plans, specifications, plates, and reports, and all documents prepared by an architect which are issued by a registrant must be stamped with the seal during the life of a registrant's certificate.
 - (d) It shall be unlawful for anyone to stamp or seal any document with the seal after the certificate or the registrant named thereon has expired or been suspended or revoked.
- 168 (e) It shall be unlawful for an architect or any other



- individual to stamp, to cause to be stamped, or to allow to be stamped any document or documents which were not prepared under the responsible control of the registered architect whose stamp is to be affixed thereon.
- (f) (1) On or after July 22, 1987, it shall be unlawful:

 (1) a. toTo practice architecture in a branch office not

 under the day-to-day supervision of a registered architector.
 - (2)b. for For an architect to falsely represent himself or herself as being in responsible control of architectural work or to permit his or her seal, or facsimile image thereof, to be used by another for any purpose.
- 180 (2) Violations <u>described in subdivisons (1) and (2)</u>
 181 shall be penalized as provided in Section 34-2-36."
- 182 "\$34-2-36

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- (a) On or after April 28, 1999, any person who knowingly, willfully, or intentionally violates any provision of this chapter shall be guilty of a Class A misdemeanor. Each day of violation shall constitute a distinct and separate offense.
- 188 (b) When it appears to the board that any person is

 189 violating any of the provisions of this chapter, the board may

 190 in its own name bring an action in the circuit court for an

 191 injunction, and the court may enjoin any person from violating

 192 this chapter regardless of whether the proceedings have been

 193 or may be instituted before the board or whether criminal

 194 proceedings have been or may be instituted.
- 195 (c) In addition to any other provisions of law, the
 196 board may enter an order assessing a civil penalty against any



- 197 nonregistered person individual, corporation, or other entity 198 found guilty by the board of, but not limited to, the
- 199 following violations of this chapter:
- 200 (1) Engaging in the practice or offer to practice 201 architecture in this jurisdiction without being registered in 202 accordance with this chapter.
- 203 (2) Using or employing the words architect, 204 architecture, or any modification or derivative thereof in its 205 name or form of business activity, except as authorized in 206 this chapter.
- 207 (3) Presenting to the board or a member of the board or attempting to use the certificate of registration or the seal 208 209 of another registered architect to obtain or attempt to obtain 210 a certificate of registration.
- 211 (4) Giving false or forged evidence of any kind to the board or a member of the board in obtaining or attempting to 212 213 obtain a certificate of registration.
- 214 (5) Falsely impersonating another registered architect 215 of like or different name.
- 216 (6) Using or attempting to use a revoked or nonexistent 217 certificate of registration.
- 218 (7) Directing the professional judgment of a registered 219 architect who is responsible for the practice of architecture.
- 220 (d) The board shall determine the amount of the civil 221 penalty which shall not exceed five thousand dollars (\$5,000) for each day the violation continues and shall not be greater 222 than twenty-five thousand dollars (\$25,000) total per 223

224 violation.



- 225 (e) Before issuing an order under this section, the
 226 board shall provide the person written notice and the
 227 opportunity to request, within 30 days of the notice by the
 228 board, a hearing on the record.
- 230 (f) Pursuant to the proceedings under this section, the 230 board may issue subpoenas to compel the attendance and 231 testimony of witnesses and disclosure of evidence and may 232 request the Attorney General to bring an action to enforce a 233 subpoena.
- 234 (g) A person aggrieved by the levy of a civil penalty
 235 under this section may file an appeal to the Circuit Court of
 236 Montgomery County exclusively for judicial review of the
 237 penalty within 30 days, notwithstanding the Administrative
 238 Procedure Act. Unless an appeal is taken or the penalty paid,
 239 the order of the board imposing the civil penalty shall become
 240 a judgment.
 - (h) If a person fails to pay a civil penalty within 30 days after entry of an order pursuant to subsection (c) or if the order is stayed pending an appeal, within 10 days after the court enters a final judgment in favor of the board of an order appealed pursuant to subsection (g), the board shall notify the Attorney General. The Attorney General may commence a civil action to recover the amount of the penalty plus attorney sattorney fees and costs.
- 249 (i) The cost to the board of the action shall be paid 250 by the respondent if found in violation."
- 251 **"**§34-2-37

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252 (a) It shall be lawful for a corporation, a

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- 253 professional corporation, a professional association, a
 254 partnership, or a limited liability company (the entity) to
 255 practice architecture in this state provided that:
 - (1) A minimum of two-thirds of those responsible for controlling the activities of the entity, including officers, partners, directors, members, and others depending on the legal structure of the entity, are voting stockholders who are architects or professional engineers, or both, registered under the laws of any United States jurisdiction and at least one is an architect registered in Alabama.
 - (2) Any agreement to perform such services shall be executed on behalf of the entity by a stockholding officer, partner, director, or member with authority to contractually bind the entity, who is an architect registered in the State of Alabama.
 - (3) A stockholding officer, partner, director, or member who is an architect registered in the State of Alabama shall exercise responsible control over the particular services contracted for by the entity and that architect's name and seal shall appear on all documents prepared by the entity in its practice of architecture.
- 274 (4) Other officers, partners, directors, or members 275 shall not direct the professional judgment of the architect in 276 responsible control over the practice of architecture by the 277 entity.
- 278 (5) The entity shall furnish the board with such 279 information about its organization and activities as the board 280 shall require by rule and pay an annual administrative fee as

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the board may require, not to exceed two hundred fifty dollars

(\$250) as established by board rule. The board shall maintain a

public roster of such entities.

(b) All corporations, professional corporations, professional associations, partnerships, and limited liability companies (entities) practicing architecture in the State of Alabama shall fully comply with the above requirements by July 1, 2012.

289 (c) (b) Applications to practice as an entity described
290 in subsection (b) shall be made on an annual basis.

Disciplinary action for the entities shall be the same as for registered architects. Approved entities shall be responsible for the acts of their agents, employees, general partners, directors, or officers.

- (c) Certificates of Authorization shall expire on April
 30 following their issuance or renewal and shall become
 invalid on May 1 unless renewed.
- 298 (d) Renewal may be effected at any time prior to or
 299 during the month of April by the payment of a fee established
 300 by the board by rule.
- (e) A civil penalty in an amount established by the

 board by rule may be added to the renewal fee for failure to

 renew a certificate upon terms and conditions as the board may

 determine by rule. Failure to renew a certificate of

 authorization by April 30 shall result in a lapse of the

 certificate.
- 307 <u>(f) A registrant whose Certificate of Authorization has</u> 308 lapsed may have the certificate reinstated, if he or she is in



309 compliance with other relevant requirements, by filing a 310 renewal form and paying a reinstatement fee established by the 311 board by rule, in addition to the appropriate renewal fee and 312 late penalty." 313 "\$34-2-38 314 To carry out the provisions of this chapter, there 315 shall be a State Board for Registration of Architects, 316 consisting of six members, each of whom shall be appointed by 317 the Governor from a list of three persons selected as follows: (1) All appointments as members of the board shall be 318 319 architects registered and licensed pursuant to this chapter. 320 The board shall be appointed from the following districts: One from the northern district; two from the north central 321 322 district; two from the central district, and one from the 323 southern district. The northern district shall be comprised of the Counties of Colbert, Cullman, DeKalb, Franklin, Jackson, 324 325 Lauderdale, Lawrence, Limestone, Madison, Marion, Marshall, 326 Morgan, and Winston; the north central district shall be 327 comprised of the Counties of Bibb, Blount, Calhoun, Cherokee, 328 Clay, Cleburne, Etowah, Fayette, Greene, Hale, Jefferson, 329 Lamar, Pickens, Randolph, Shelby, St. Clair, Sumter, 330 Talladega, Tuscaloosa, and Walker; the central district shall 331 be comprised of the Counties of Autauga, Barbour, Bullock, 332 Butler, Chambers, Chilton, Coffee, Coosa, Covington, Crenshaw, 333 Dale, Dallas, Elmore, Geneva, Henry, Houston, Lee, Lowndes, 334 Macon, Marengo, Montgomery, Perry, Pike, Russell, Tallapoosa, and Wilcox; and the southern district shall be comprised of 335

the Counties of Baldwin, Choctaw, Clarke, Conecuh, Escambia,



337 Mobile, Monroe, and Washington.

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- 338 (2) At least Thirty30 days before the expiration of a board member's term, or for filling a vacancy otherwise 339 340 occurring, a nominating committee of six members shall be 341 selected by secret ballot from the district entitled to fill the vacancy. The nominating committee shall be elected at a 342 343 meeting in the district called by the executive director of 344 the board, who shall give notice in writing of the time and 345 place of the called meeting to each architect in the district at least 30 days in advance of the date set for the meeting. 346 347 Those architects present at the called meeting may vote on the membership of the nominating committee. After the selection of 348 349 the nominating committee from the district where the vacancy 350 occurs, there shall be a meeting of the committee with the 351 board at the same place within five days to select, by secret 352 ballot, the names of three persons to be sent to the Governor 353 by the executive director of the board. The board may conduct 354 the nominating committee meeting virtually or provide a 355 process of selection by mail-in ballot. The Governor shall 356 appoint one of the named persons to the board. In appointing 357 members to the board, the Governor shall select those persons 358 whose appointments, to the extent possible, ensure that the 359 membership of the board is inclusive and reflects the racial, 360 gender, geographic, urban/ruralurban, rural, and economic 361 diversity of the state.
 - (3) The term of office of the members of the board shall be four years and until their successors are appointed and qualified.

"\$34-2-39



- (4) A member shall reside and have his or her principal office in the district from which appointed. A member's place on the board shall become vacant if the member removes either his or her residence or principal office from that district."
- 370 (a) Each member of the board shall receive a

 371 certificate of appointment from the Governor. Before beginning

 372 his or her term of office, each member of the board shall file

 373 with the Secretary of State the constitutional oath of office.
 - (b) The board, or any committee thereof, shall be entitled to the services of the Attorney General in connection with the affairs of the board, and the board shall have the power to compel attendance of witnesses, to require production of documents, to administer oaths, and to take testimony and proof concerning all matters within its jurisdiction.
 - (c) The board shall adopt and have an official seal which shall be affixed to all certificates of registration granted.
 - (d) The board shall have power and authority to make and adopt bylaws rand rules and regulations consistent with the provisions of this chapter and pursuant to the state administrative procedure law in order to comply with the provisions of this chapter and to establish standards of professional conduct of architects.
 - (e) The board shall adopt a program of continuing education not later than October 1, 1993, in order to insureensure that all registered architects remain informed of those technical and professional subjects which that the board



- deems appropriate to professional architectural practice. The board, by rule, may by regulation describe the methods by
- 395 which the requirements of <u>such</u> the program may be satisfied.
- 396 Failure to meet such requirements of continuing education
- 397 shall result in nonrenewal of an architect's certificate of
- 398 registration."
- 399 "\$34-2-40
- 400 (a) The board shall hold at least four regular meetings 401 each year.
- 402 (b) The board shall elect annually a chair and
 403 vice chair who must be members of the board. The board
 404 may employ an executive director, clerks, experts, attorneys,
 405 and others, as may be necessary in the carrying out of the
 406 provisions of this chapter.
 - (c) The board shall have the power, with the approval of the Governor, to fix the compensation of the executive director and other employees.
- 410 (d) A quorum of the board shall consist of not less
 411 than a majority of the duly appointed board members."
- 412 "\$34-2-41

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- 413 (a) The executive director of the board shall receive
 414 and account for all—moneys monies derived from the operation
 415 of this chapter. Such—moneys monies shall be certified into
 416 the treasury in a fund to be known as the Fund of the Board
 417 for the Registration of Architects. Such fund shall be drawn
 418 against only for the purposes of this chapter.
- 419 (b) The fiscal year shall commence on the first day of 420 October and end on the thirtieth day of September.



- as recommended by the board consistent with applicable state laws for attending in person or virtual sessions of the board or its committee, and for the time spent in necessary travel to attend meetings of the board or its committee. In addition, each member of the board shall be reimbursed for traveling and clerical necessary expenses incurred in carrying out the provisions of this chapter official duties.
- (d) Expenses certified by the board as properly and necessarily incurred in the discharge of its duties, including, but not limited to, authorized compensations, additional legal services, experts, clerks, office rent, and supplies, shall be paid out of the fund on the warrant of the Comptroller of the state. Such warrant shall be issued on requisitions signed by the chairman and executive director of the board. At no time in any fiscal year shall the total amount of warrants issued exceed the total amount of meneysmonies accumulated in this fund.
- (e) The board may make donations from its surplus funds to any state educational institution—which that has an NAAB accredited school of architecture for assistance in promoting education and research programs in architecture.
- (f) The board may provide educational information and programs to the public regarding the service of architecture from its surplus funds.
- (g) The board may purchase promotional items for outreach and educational programs from its surplus funds.
 - (h) For the purpose of attracting architects to the



449	State of Alabama, the board may waive registration fees from
450	its surplus funds as approved by the board through board rule.
451	(i) The board, by rule, may set registration fees
452	including, but not limited to, application, initial renewal,
453	manual renewal, late renewal, and reinstatement, as well as
454	fees for copies, certificate replacement, and mailing lists.
455	The chairman and the executive director of the board
456	shall give a surety bond in an amount no less than the
457	previous year's budget payable to the State of Alabama and
458	conditioned upon the faithful performance of their duties
459	under this chapter. The premium of the bond shall be paid out
460	of the moneys in the Fund of the Board for the Registration of
461	Architects."
462	Section 2. Section 34-2-42, Code of Alabama 1975,
463	relating to the submission of an annual report, is repealed.
464	Section 3. Section 34-2-43 is added to the Code of
465	Alabama 1975, to read as follows:
466	§34-2-43. Sunset Provision.
467	The board shall be subject to the Alabama Sunset Law,
468	as provided in Chapter 20 of Title 41, as an enumerated agency
469	as provided in Section 41-20-3.
470	Section 4. This act shall become effective on October
471	1, 2024.