

- 1 HB235
- 2 IMPHZW6-3
- 3 By Representative Lipscomb
- 4 RFD: Boards, Agencies and Commissions
- 5 First Read: 27-Feb-24



1 <u>Enrolled</u>, An Act,

| 2   | Relating to the Alabama Board for Registration of              |  |  |  |
|-----|--|--|--|--|
| 3   | Architects; to amend Sections 34-2-33, 34-2-34, 34-2-35,       |  |  |  |
| 4   | 34-2-36, 34-2-37, 34-2-38, 34-2-39, 34-2-40, and 34-2-41, Code |  |  |  |
| 5   | of Alabama 1975; to repeal Section 34-2-42, Code of Alabama    |  |  |  |
| 6   | 1975; and to add Section 34-2-43 to the Code of Alabama 1975;  |  |  |  |
| 7   | to further provide for the duties of the board; to further     |  |  |  |
| 8   | regulate the practice of architecture by entities; and to make |  |  |  |
| 9   | nonsubstantive, technical revisions to update existing code    |  |  |  |
| 10  | language to current style.                                     |  |  |  |
| 11  | BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:                   |  |  |  |
| 12  | Section 1. Sections 34-2-33, 34-2-34, 34-2-35, 34-2-36,        |  |  |  |
| 13  | 34-2-37, 34-2-38, 34-2-39, 34-2-40, and 34-2-41, Code of       |  |  |  |
| 14  | Alabama 1975, are amended to read as follows:                  |  |  |  |
| 15  | "§34-2-33  |  |  |  |
| 16  | (a) The board shall receive applications for                   |  |  |  |
| 17  | registration as an architect only on forms prescribed and      |  |  |  |
| 18  | furnished by the board.  |  |  |  |
| 19  | (1) Upon receipt of the application and the payment of         |  |  |  |
| 20  | a fee, as established by the board through the public          |  |  |  |
| 21  | rulemaking process, the fee in no event exceeding two hundred  |  |  |  |
| 22  | fifty dollars (\$250), the board shall promptly notify the     |  |  |  |
| 23  | applicant of examination requirements for registration, if     |  |  |  |
| 24  | applicable.  |  |  |  |
| 25  | (2) The board may contract with an independent testing         |  |  |  |
| 26  | agency to prepare, grade, or conduct the examination. If the   |  |  |  |
| 27  | board determines the applicant requires examination, the       |  |  |  |
| ~ ~ |  |  |  |  |

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28 applicant shall pay the actual cost of the examination



29 directly to the board-authorized testing agency.

30 (b) The board may issue to an applicant, without 31 further examination, a certificate of registration as an 32 architect, provided the applicant holds an unexpired 33 certificate issued to him or her by the National Council of 34 Architectural Registration Boards, hereinafter referred to as 35 <u>(NCARB)</u>.

36 (c) (1) The following facts established in the 37 application shall be regarded as prima facie evidence 38 satisfactory to the board that the applicant is fully 39 qualified to be examined for registration:

40 (1)<u>a.</u> Graduation after a course of study of a length as 41 the board shall by regulation determine from a school or 42 college of architecture accredited by the National 43 Architectural Accrediting Board<del>, hereinafter referred to as</del> 44 (NAAB); and

45 (2)b. An additional period of practical experience in
46 architectural work under the responsible control of a
47 registered architect or architects as the board by regulation
48 shall deem appropriate.

49 (2) If the applicant is unable to satisfy the
50 requirements of subdivision (1), the applicant may demonstrate
51 appropriate qualifications by a combination of education,
52 experience, and examination endorsed by NCARB and approved by
53 the board.

54 <u>(d)</u> Unless exempted, applicants shall take and pass the 55 professional examination administered by the board or an 56 independent testing agency approved by the board.



57 (d) In determining the sufficiency of the 58 qualifications of the applicant for registration, a majority vote of the members of the board shall be required. 59 60 (e) (1) Certificates for registration shall expire on December 31 following their issuance or renewal and shall 61 become invalid on that dayJanuary 1 unless renewed. 62 63 (2) Certificates of registrants who are or may be in 64 the Armed Forces of the United States shall not expire until 65 December 31 following the discharge or final separation of the registrant from the Armed Forces of the United States. 66 67 (f) (1) Renewal may be accomplished at any time prior to or during the month of December by the payment of a fee 68 established by rule by the board not to exceed two hundred 69 fifty dollars (\$250). 70 71 (2) A penalty not to exceed the sum of seventy-five dollars (\$75) established by board rule may be added to the 72 73 renewal fee for failure to renew a certificate upon such terms 74 and conditions as the board may by regulation determine. 75 Failure to renew a certificate of registration by March 31 shall result in a lapse of registration. 76 77 (g) A registrant whose certificate of registration has 78 lapsed may have it reinstated, if in compliance with other 79 relevant requirements, by filing a reinstatement application 80 and paying, in addition to the appropriate renewal fee and 81 late penalty, a reinstatement fee of two hundred fifty dollars (\$250) established by board rule. 82 (h) There is hereby created, for renewal of certificate 83 84 purposes, a status to be known as "emeritus status architect,"



85 which shall apply to architects who have been registered for 86 10 consecutive years or longer, and who are 65 years of age or 87 older, and who have retired from active practice. The annual 88 renewal of registration for emeritus status shall be renewed without payment of a fee. If an emeritus status architect 89 90 subsequently wishes to practice, he or she may do so without 91 penalty by proper application to the board." 92 "§34-2-34 93 (a) The board shall have the following disciplinary 94 powers: 95 (1) To issue cease and desist letters to persons who are practicing architecture without a license. 96 97 (1) (2) To issue reprimands to any licensee who violates 98 any provision of this chapter or the rules-and regulations of 99 the board. (2) (3) To levy administrative fines for serious 100 101 violations of this chapter or the rules and regulations of the 102 board of not more than five thousand dollars (\$5,000) for each 103 day the violation continues, but in no event shall an 104 administrative fine exceed twenty-five thousand dollars 105 (\$25,000) total per violation. 106 (3) (4) To refuse to issue a certificate, to suspend a certificate for a definite period, or to revoke the 107 108 certificate of registration of an architect who is found 109 guilty of any of the following: a. Any fraud or deceit in obtaining a certificate of 110 registration as determined by the board at a hearing $\div$ . 111

b. Gross negligence, incompetence, or misconduct in the

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113 practice of architecture as determined by the board at a 114 hearing<del>;</del>.

115 c. A felony or misdemeanor involving moral turpitude by 116 a court of competent jurisdiction<del>;</del>.

d. Practicing architecture in this state in violation of the standards of professional conduct established by the board<del>;</del>.

e. Practicing architecture in this or any other state
or country in violation of the laws of that state or country;
or.

f. Aiding or abetting any individual, partnership, or corporation to engage in the practice of architecture in violation of any provisions of law.

126 (4) (b) Pursuant to subdivision (3) (a), notice of the 127 nature of the charges placed against an architect and the time 128 and place of hearing these charges by the board must be sent 129 to the accused by certified mail, with return receipt 130 requested, and addressed to his or her last known place of 131 business, or residence, not less than 30 days before the date 132 fixed for such hearing. The notice shall inform the individual 133 that he or she is entitled to be represented by counsel of his 134 or her choosing at the hearing, to have witnesses testify in 135 his or her behalf at the hearing, to confront and 136 cross-examine witnesses at the hearing, and to testify in his 137 or her own behalf at the hearing.

138 (c) In all cases of reprimand, administrative fine, 139 refusal, suspension, or revocation of a certificate of 140 registration, or any other disciplinary action of the board,



141 the accused may appeal to the Circuit Court of Montgomery 142 County, Alabama. Either party, the accused or the board, has 143 the right to appeal from the final decree of the circuit court 144 as provided by law."

145 "\$34-2-35

(a) Each registrant must obtain a seal of a design authorized by the board bearing the registrant's name, the legend registered architect, the words State of Alabama, and the registrant's license registration number.

(b) Nothing in this chapter shall prevent a registered
architect from being employed by a person, firm, partnership,
corporation, or professional corporation.

(c) Plans, specifications, plates, and reports, and all documents prepared by an architect which are issued by a registrant must be stamped with the seal during the life of a registrant's certificate.

(d) It shall be unlawful for anyone to stamp or seal any document with the seal after the certificate or the registrant named thereon has expired or been suspended or revoked.

(e) It shall be unlawful for an architect or any other individual to stamp, to cause to be stamped, or to allow to be stamped any document or documents which were not prepared under the responsible control of the registered architect whose stamp is to be affixed thereon.

(f) (1) On or after July 22, 1987, it shall be unlawful:
(1)<u>a.</u> to<u>To</u> practice architecture in a branch office not
under the day-to-day supervision of a registered architect<u>or</u>.



169 (2)b. forFor an architect to falsely represent himself 170 or herself as being in responsible control of architectural 171 work or to permit his or her seal, or facsimileimage thereof, 172 to be used by another for any purpose. 173 (2) Violations described in subdivisons (1) and (2) 174 shall be penalized as provided in Section 34-2-36." "\$34-2-36 175 176 (a) On or after April 28, 1999, any person who 177 knowingly, willfully, or intentionally violates any provision of this chapter shall be quilty of a Class A misdemeanor. Each 178 179 day of violation shall constitute a distinct and separate offense. 180 181 (b) When it appears to the board that any person is 182 violating any of the provisions of this chapter, the board may

in its own name bring an action in the circuit court for an injunction, and the court may enjoin any person from violating this chapter regardless of whether the proceedings have been or may be instituted before the board or whether criminal proceedings have been or may be instituted.

(c) In addition to any other provisions of law, the board may enter an order assessing a civil penalty against any nonregistered <u>person</u> individual, corporation, or other entity found guilty by the board of, but not limited to, the following violations of this chapter:

(1) Engaging in the practice or offer to practice
architecture in this jurisdiction without being registered in
accordance with this chapter.

196

(2) Using or employing the words architect,



197 architecture, or any modification or derivative thereof in its 198 name or form of business activity, except as authorized in 199 this chapter.

(3) Presenting to the board or a member of the board or
attempting to use the certificate of registration or the seal
of another registered architect to obtain or attempt to obtain
a certificate of registration.

(4) Giving false or forged evidence of any kind to the
board or a member of the board in obtaining or attempting to
obtain a certificate of registration.

207 (5) Falsely impersonating another registered architect208 of like or different name.

209 (6) Using or attempting to use a revoked or nonexistent 210 certificate of registration.

(7) Directing the professional judgment of a registeredarchitect who is responsible for the practice of architecture.

(d) The board shall determine the amount of the civil penalty which shall not exceed five thousand dollars (\$5,000) for each day the violation continues and shall not be greater than twenty-five thousand dollars (\$25,000) total per violation.

(e) Before issuing an order under this section, the board shall provide the person written notice and the opportunity to request, within 30 days of the notice by the board, a hearing on the record.

(f) Pursuant to the proceedings under this section, the board may issue subpoenas to compel the attendance and testimony of witnesses and disclosure of evidence and may



225 request the Attorney General to bring an action to enforce a 226 subpoena.

(g) A person aggrieved by the levy of a civil penalty
under this section may file an appeal to the Circuit Court of
Montgomery County exclusively for judicial review of the
penalty within 30 days, notwithstanding the Administrative
Procedure Act. Unless an appeal is taken or the penalty paid,
the order of the board imposing the civil penalty shall become
a judgment.

(h) If a person fails to pay a civil penalty within 30 234 235 days after entry of an order pursuant to subsection (c) or if the order is stayed pending an appeal, within 10 days after 236 237 the court enters a final judgment in favor of the board of an 238 order appealed pursuant to subsection (g), the board shall 239 notify the Attorney General. The Attorney General may commence a civil action to recover the amount of the penalty plus 240 attorney's attorney fees and costs. 241

(i) The cost to the board of the action shall be paidby the respondent if found in violation."

244 "\$34-2-37

(a) It shall be lawful for a corporation, a
professional corporation, a professional association, a
partnership, or a limited liability company (the entity) to
practice architecture in this state provided that:

(1) A minimum of two-thirds of those responsible for
controlling the activities of the entity, including officers,
partners, directors, members, and others depending on the
legal structure of the entity, are voting stockholders who are



253 architects or professional engineers, or both, registered 254 under the laws of any United States jurisdiction and at least 255 one is an architect registered in Alabama.

(2) Any agreement to perform such services shall be
executed on behalf of the entity by a stockholding officer,
partner, director, or member with authority to contractually
bind the entity, who is an architect registered in the State
of Alabama.

(3) A stockholding officer, partner, director, or
member who is an architect registered in the State of Alabama
shall exercise responsible control over the particular
services contracted for by the entity and that architect's
name and seal shall appear on all documents prepared by the
entity in its practice of architecture.

(4) Other officers, partners, directors, or members shall not direct the professional judgment of the architect in responsible control over the practice of architecture by the entity.

(5) The entity shall furnish the board with such information about its organization and activities as the board shall require by rule and pay an annual administrative fee as the board may require, not to exceed two hundred fifty dollars (\$250) as established by board rule. The board shall maintain a public roster of such entities.

277 (b) All corporations, professional corporations,
 278 professional associations, partnerships, and limited liability
 279 companies (entities) practicing architecture in the State of
 280 Alabama shall fully comply with the above requirements by July



281 <del>1, 2012.</del>

| 282 | <del>(c)</del> (b) Applications to practice as an entity <del> described</del> |
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| 283 | in subsection (b) shall be made on an annual basis.                            |
| 284 | Disciplinary action for the entities shall be the same as for                  |
| 285 | registered architects. Approved entities shall be responsible                  |
| 286 | for the acts of their agents, employees, general partners,                     |
| 287 | directors, or officers.  |
| 288 | (c) Certificates of Authorization shall expire on April                        |
| 289 | 30 following their issuance or renewal and shall become                        |
| 290 | invalid on May 1 unless renewed.   |
| 291 | (d) Renewal may be effected at any time prior to or                            |
| 292 | during the month of April by the payment of a fee established                  |
| 293 | by the board by rule.  |
| 294 | (e) A civil penalty in an amount established by the                            |
| 295 | board by rule may be added to the renewal fee for failure to                   |
| 296 | renew a certificate upon terms and conditions as the board may                 |
| 297 | determine by rule. Failure to renew a certificate of                           |
| 298 | authorization by April 30 shall result in a lapse of the                       |
| 299 | certificate.   |
| 300 | (f) A registrant whose Certificate of Authorization has                        |
| 301 | lapsed may have the certificate reinstated, if he or she is in                 |
| 302 | compliance with other relevant requirements, by filing a                       |
| 303 | renewal form and paying a reinstatement fee established by the                 |
| 304 | board by rule, in addition to the appropriate renewal fee and                  |
| 305 | late penalty."   |
| 306 | "§34-2-38  |
| 307 | To carry out the provisions of this chapter, there                             |
| 308 | shall be a State Board for Registration of Architects,                         |



309 consisting of six members, each of whom shall be appointed by 310 the Governor from a list of three persons selected as follows:

311 (1) All appointments as members of the board shall be 312 architects registered and licensed pursuant to this chapter. 313 The board shall be appointed from the following districts: One 314 from the northern district; two from the north central 315 district; two from the central district $_{r}$ ; and one from the 316 southern district. The northern district shall be comprised of 317 the Counties of Colbert, Cullman, DeKalb, Franklin, Jackson, 318 Lauderdale, Lawrence, Limestone, Madison, Marion, Marshall, 319 Morgan, and Winston; the north central district shall be 320 comprised of the Counties of Bibb, Blount, Calhoun, Cherokee, 321 Clay, Cleburne, Etowah, Fayette, Greene, Hale, Jefferson, 322 Lamar, Pickens, Randolph, Shelby, St. Clair, Sumter, 323 Talladega, Tuscaloosa, and Walker; the central district shall 324 be comprised of the Counties of Autauga, Barbour, Bullock, 325 Butler, Chambers, Chilton, Coffee, Coosa, Covington, Crenshaw, 326 Dale, Dallas, Elmore, Geneva, Henry, Houston, Lee, Lowndes, 327 Macon, Marengo, Montgomery, Perry, Pike, Russell, Tallapoosa, 328 and Wilcox; and the southern district shall be comprised of 329 the Counties of Baldwin, Choctaw, Clarke, Conecuh, Escambia, 330 Mobile, Monroe, and Washington.

331 (2) <u>At least Thirty30</u> days before the expiration of a 332 board member's term, or for filling a vacancy otherwise 333 occurring, a nominating committee of six members shall be 334 selected by secret ballot from the district entitled to fill 335 the vacancy. The nominating committee shall be elected at a 336 meeting in the district called by the executive director of



337 the board, who shall give notice in writing of the time and 338 place of the called meeting to each architect in the district 339 at least 30 days in advance of the date set for the meeting. 340 Those architects present at the called meeting may vote on the 341 membership of the nominating committee. After the selection of 342 the nominating committee from the district where the vacancy 343 occurs, there shall be a meeting of the committee with the 344 board at the same place within five days to select, by secret 345 ballot, the names of three persons to be sent to the Governor by the executive director of the board. The board may conduct 346 347 the nominating committee meeting virtually or provide a process of selection by mail-in ballot. The Governor shall 348 349 appoint one of the named persons to the board. In appointing 350 members to the board, the Governor shall select those persons 351 whose appointments, to the extent possible, ensure that the 352 membership of the board is inclusive and reflects the racial, 353 gender, geographic, urban/ruralurban, rural, and economic 354 diversity of the state.

355 (3) The term of office of the members of the board 356 shall be four years and until their successors are appointed 357 and qualified.

358 (4) A member shall reside and have his or her principal 359 office in the district from which appointed. A member's place 360 on the board shall become vacant if the member removes either 361 his or her residence or principal office from that district."

362 "\$34-2-39

363 (a) Each member of the board shall receive a
 364 certificate of appointment from the Governor. Before beginning



365 his or her term of office, each member of the board shall file 366 with the Secretary of State the constitutional oath of office. 367 (b) The board, or any committee thereof, shall be 368 entitled to the services of the Attorney General in connection 369 with the affairs of the board, and the board shall have the 370 power to compel attendance of witnesses, to require production 371 of documents, to administer oaths, and to take testimony and 372 proof concerning all matters within its jurisdiction. 373 (c) The board shall adopt and have an official seal

374 which shall be affixed to all certificates of registration 375 granted.

(d) The board shall have power and authority to make
and adopt bylaws, and rules and regulations consistent with the
provisions of this chapter and pursuant to the state
administrative procedure law in order to comply with the
provisions of this chapter and to establish standards of
professional conduct of architects.

382 (e) The board shall adopt a program of continuing 383 education not later than October 1, 1993, in order to 384 insureensure that all registered architects remain informed of 385 those technical and professional subjects which that the board 386 deems appropriate to professional architectural practice. The 387 board, by rule, may by regulation describe the methods by 388 which the requirements of such the program may be satisfied. 389 Failure to meet such requirements of continuing education 390 shall result in nonrenewal of an architect's certificate of registration." 391

392 "\$34-2-40



393 (a) The board shall hold at least four regular meetings394 each year.

(b) The board shall elect annually a <u>chairmanchair</u> and
vice <u>chairmanchair</u> who must be members of the board. The board
may employ an executive director, clerks, experts, attorneys,
and others, as may be necessary in the carrying out of the
provisions of this chapter.

400 (c) The board shall have the power, with the approval
401 of the Governor, to fix the compensation of the executive
402 director and other employees.

403 (d) A quorum of the board shall consist of not less404 than a majority of the duly appointed board members."

405 "\$34-2-41

406 <u>(a)</u> The executive director of the board shall receive 407 and account for all<u>moneys monies</u> derived from the operation 408 of this chapter. Such<u>moneys monies</u> shall be certified into 409 the treasury in a fund to be known as the Fund of the Board 410 for the Registration of Architects. Such fund shall be drawn 411 against only for the purposes of this chapter.

412 (b) The fiscal year shall commence on the first day of 413 October and end on the thirtieth day of September.

414 (c) Each member of the board shall receive a per diem 415 as recommended by the board consistent with applicable state 416 laws for attending <u>in person or virtual</u> sessions of the board 417 or its committee, and for the time spent in necessary travel 418 to attend meetings of the board or its committee. In addition, 419 each member of the board shall be reimbursed for traveling and 420 <del>clerical</del>necessary expenses incurred in carrying out the



#### 421 provisions of this chapter official duties.

422 (d) Expenses certified by the board as properly and 423 necessarily incurred in the discharge of its duties, 424 including, but not limited to, authorized compensations, 425 additional legal services, experts, clerks, office rent, and 426 supplies, shall be paid out of the fund on the warrant of the 427 Comptroller of the state. Such warrant shall be issued on 428 requisitions signed by the chairman and executive director of 429 the board. At no time in any fiscal year shall the total amount of warrants issued exceed the total amount of 430 431 moneysmonies accumulated in this fund. (e) The board may make donations from its surplus funds 432

433 to any state educational institution—which\_that has ana NAAB 434 accredited school of architecture for assistance in promoting 435 education and research programs in architecture.

436 (f) The board may provide educational information and
 437 programs to the public regarding the service of architecture
 438 from its surplus funds.

439 (g) The board may purchase promotional items for 440 outreach and educational programs from its surplus funds. 441 (h) For the purpose of attracting architects to the 442 State of Alabama, the board may waive registration fees from 443 its surplus funds as approved by the board through board rule. 444 (i) The board, by rule, may set registration fees 445 including, but not limited to, application, initial renewal, 446 manual renewal, late renewal, and reinstatement, as well as fees for copies, certificate replacement, and mailing lists. 447 448 The chairman and the executive director of the board



449 shall give a surety bond in an amount no less than the 450 previous year's budget payable to the State of Alabama and conditioned upon the faithful performance of their duties 451 452 under this chapter. The premium of the bond shall be paid out 453 of the moneys in the Fund of the Board for the Registration of 454 Architects." 455 Section 2. Section 34-2-42, Code of Alabama 1975, 456 relating to the submission of an annual report, is repealed. Section 3. Section 34-2-43 is added to the Code of 457 Alabama 1975, to read as follows: 458 459 §34-2-43. Sunset Provision. 460 The board shall be subject to the Alabama Sunset Law, 461 as provided in Chapter 20 of Title 41, as an enumerated agency as provided in Section 41-20-3. 462 463 Section 4. This act shall become effective on October 1, 2024. 464



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| 472 |           | Speaker of the House of Representatives       |        |
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| 476 |           |   |        |
| 477 |           | President and Presiding Officer of the Senate | _      |
| 478 |           |   |        |
| 479 |           |   |        |
| 480 |           | House of Representatives                      |        |
| 481 |           |   |        |
| 482 | I         | hereby certify that the within Act originated | in and |
| 483 | was passe | d by the House 11-Apr-24, as amended.         |        |
| 484 | _         |   |        |
| 485 |           | John Treadwell                                |        |
| 486 |           | Clerk   |        |
| 487 |           |   |        |
| 488 |           |   |        |
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| 492 | Senate    | 08-May-24                                     | Passed |
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